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Supplier Export Control Classification Form

**Introduction**

Ultra PCS Ltd (Ultra) is a global business which provides products and services to customers located across the world.

Some of the countries in which Ultra does business apply controls on the export or transfer of hardware, software and technical information, including any services that are deemed to be of importance to national security and foreign policy.

In order to comply with these controls we require written confirmation from our Suppliers, to identify whether any of the hardware, software, technical information or services supplied to Ultra are subject to any trade or export control laws and regulations.

We therefore ask that you complete and return the attached Supplier Export Control Classification Form for the item identified by the requestor, **once annually and once again should any of the information change.**

If you are manufacturing a product which has been designed by Ultra, the questions in the Supplier Export Control Classification Form will apply to the components / material you have used to manufacture that product.

This document will form part of the agreement between the Supplier and Ultra and we will rely upon the information provided.

Improperly completed and / or incomplete documents will be returned for amendment where necessary.

**Please send completed forms to:** ExportsDept@ultra-pcs.com

If you require any assistance completing the form please do not hesitate to contact us.

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| COMPANY LOGO/LETTERHEAD |

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| **Export Control Classification Form** |

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| **Quotation or Purchase Order Number** (if applicable):      |

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| **Section 1.) Company Information** (Complete all boxes) |
| Name:      Address:       City / State:       Postal / Zip Code:       Country:       |
| Person Responsible for Export Controls:Name:       Email:      Position:       Telephone:        |

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| **Section 2.) Product** (Includes hardware, software, technical data and services) |
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| **What Product are you supplying to Ultra?**

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| --- | --- | --- | --- | --- | --- | --- |
| Your Part Number | Ultra’s Part Number | Part Description | Manufacturer(Name & Address) | Country of Origin(Where the Product was Manufactured) | Raw Material Origin(UK requirement -Iron & Steel) | Metal Origin(US requirement – detailed in Appendix 2) |
|       |       |       |       |       |       |       |

Additional items can be listed in Annex 1 (Please contact ExportsDept@ultra-pcs.com if required) |

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| **Section 3.) Design** |
|  |
| **Are you manufacturing a product to an Ultra specification or drawing?** Yes [ ]  State Document Number here:       and continue to Section 5: U.S. Export ControlsNo [ ]  **Was the Product originally designed for a military application?**Yes [ ]  No [ ]   |

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| **Section 4.) UK Export Controls** (Select **one** option only)  |
| 1. **Is the Product listed on the UK Military List?**

Yes [ ]  UK Military List No.:       No [ ]    1. **Is the Product listed on the UK or EU Dual-Use List?**

 Yes [ ]  UK or EU Dual-Use List No.:       No [ ]   1. **Is the Product a Civil / Commercial Item?**

Yes [ ]  No [ ]  (If No – please ensure you have provided a Military or Dual-Use List number.)  |

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| **Section 5.) U.S. Export Controls** |
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| 1. **Is the Product or Technology of U.S. origin?**

 Yes [ ]  No [ ]  Continue to Section 5d1. **Is the Product or Technology listed in the United States Munitions List (ITAR)?**

Yes [ ]  USML No.:       No [ ]  1. **Is the Product or Technology listed on the Commerce Control List (EAR)**

**or classified as EAR99?** Yes [ ]  ECCN No. (or state EAR99):        No [ ]   1. **Does the Product contain any U.S. Origin Components / Material?**

Yes [ ]  List component/s / material in table belowNo [ ]

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| --- | --- | --- | --- |
| **Component Part Number** | **Description** | **(ITAR) USML No.** | **(EAR) ECCN** |
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| **Section 6.) Other Trade or Export Controls** |
|  **Is the Product subject to any other Trade or Export Controls?**  Yes [ ]  Please specify:        No [ ]   |

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| **Section 7.) Customs and Security** |
| * **Security Classification** (e.g. OFFICIAL)
* **Customs Tariff Code**
* **Dangerous Goods Classification Code**, if applicable
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| --- |
| **Comments** (Please add any additional comments here) |
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| --- |
| **Signature** (Please sign and return to:Exports@ultra-pcs.com) |
| **I, the undersigned, hereby certify that the information provided in this document is true, complete and accurate to the best of my knowledge and belief.**I shall inform Ultra PCS Ltd in writing as soon as I become aware of any change that may affect the export control jurisdiction or classification of the Product described in this document.

|  |  |
| --- | --- |
|   |  |
| Name Position Date (DD/MM/YY) | Signature  |
|  |  |

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**Guidance Notes**

* This form is available for download in PDF format from the Ultra PCS Ltd (Ultra) website: <https://www.ultra-pcs.com/supplier-downloads/>
* When completing the form, you may either complete 1 x form per item, or you may list multiple items in Annex 1 which is available as an Excel spreadsheet.

(Please contact ExportsDept@ultra-pcs.com if this is required)

* You must supply this form for each Product supplied to Ultra once on an annual basis.
* You are obliged to notify Ultra in writing if there are any changes to the information provided – notice must be provided at the earliest opportunity

Section 4.) UK Export Controls

Please refer to the UK Government website when identifying whether a Product is subject to UK Export Controls

<https://www.gov.uk/government/publications/uk-strategic-export-control-lists-the-consolidated-list-of-strategic-military-and-dual-use-items-that-require-export-authorisation>

Section 5.) US Export Controls

Please refer to the US Government websites when identifying whether a Product is subject to US Export Controls

International Traffic in Arms Regulations (ITAR) <http://www.pmddtc.state.gov/regulations_laws/itar.html>

Export Administration Regulations (EAR)<https://www.bis.doc.gov/index.php/regulations/export-administration-regulations-ear>

Section 6.) any other Export Controls

Are there any other countries export controls involved, please state.

Section 7.) Customs and Security

Details of the UK Government’s security classifications can be found here:

<https://www.gov.uk/government/publications/government-security-classifications>

Please contact**ExportsDept@ultra-pcs.com**for help if required

**APPENDIX 2**

 Metal Origin

(US requirement)

1. **Does any part of your product contain steel:**
	1. **with a maximum alloy content exceeding one or more of the following limits: manganese, 1.65 percent; silicon, 0.60 percent; or copper, 0.60 percent**

Yes/No: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If ‘yes’, what is the country of origin: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* 1. **Containing more than 0.25 percent of any of the following elements: aluminum, chromium, cobalt, molybdenum, nickel, niobium (columbium), titanium, tungsten, or vanadium.**

Yes/No: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If ‘yes’, what is the country of origin: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Does any part of your product contain metal alloys consisting of—**
	1. **Nickel or iron-nickel alloys that contain a total of alloying metals other than nickel and iron in excess of 10 percent**

Yes/No: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If ‘yes’, what is the country of origin: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* 1. **Cobalt alloys that contain a total of alloying metals other than cobalt and iron in excess of 10 percent;**

Yes/No: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If ‘yes’, what is the country of origin: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Does any part of your product contain titanium or titanium alloys**

Yes/No: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If ‘yes’, what is the country of origin: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Does any part of your product contain zirconium or zirconium alloys.**

Yes/No: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If ‘yes’, what is the country of origin: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Does any part of your product contain samarium-cobalt magnets (including melting samarium with cobalt to produce an alloy and/or all subsequent** **phases of production, such as powder formation, pressing, sintering or bonding, and magnetisation)**

Yes/No: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If ‘yes’, what is the country of origin: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Does any part of your product contain neodymium-iron-boron magnets (including melting neodymium with iron and boron to produce an alloy and/or all subsequent phases of production, such as powder formation, pressing, sintering or bonding, and magnetisation)**

Yes/No: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If ‘yes’, what is the country of origin: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Does any part of your product contain tantalum metals and alloys (includes the reduction or melting of any form of tantalum to create tantalum metal including unwrought, powder, mill products, and alloys. Also covers all subsequent phases of production of tantalum metals and alloys)**

Yes/No: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If ‘yes’, what is the country of origin: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Does any part of your product contain tungsten metal powder (includes atomization; calcination and reduction into powder; final consolidation of non-melt derived metal powders; and all subsequent phases of production of tungsten metal powder, tungsten heavy alloy, or any finished or semi-finished component containing tungsten heavy alloy)**

Yes/No: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If ‘yes’, what is the country of origin: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Does any part of your product contain tungsten heavy alloy or any finished or semi-finished component containing tungsten heavy alloy (includes atomization; calcination and reduction into powder; final consolidation of non-melt derived metal powders; and all subsequent phases of production of tungsten metal powder, tungsten heavy alloy, or any finished or semi-finished component containing tungsten heavy alloy)**

Yes/No: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If ‘yes’, what is the country of origin: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_